

V - Local Post-Adjudication Fund**Article I Section A**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

V - Local Post-Adjudication Fund**TEXT OF STANDARD****Subchapter:** I. Grant Description

A. The Local Post-Adjudication Fund is a grant designed to provide funds to select local juvenile probation departments to help defray the cost of operating post-adjudication secure correctional facilities constructed beginning in 1996 using funds from the state of Texas general obligation bond proceeds.

COMMENTARY**Discussion and Interpretation:**

Article I of this grant provides an overall description of the grant and its purpose and functions. This article is provided for informational and definitional purposes only and will not be monitored independently but in the context of the overall monitoring of other grant provisions, specifically including but not limited to the programmatic requirements.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article I Section A

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**
N/A**Reviewer Preparation Checklist Required:**
False**Reviewer Preparation Checklist:**
N/A**INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:****Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False**V - Local Post-Adjudication Fund**

V - Local Post-Adjudication Fund**Article II Section A-B**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** II. Grant Specific Definitions

A. Eligible Placement Day. A placement day that is eligible for payment under the Local Post-Adjudication Fund grant is defined as:

1. The day that a juvenile is admitted into a post-adjudication secure correctional facility regardless of the time of day;
2. Each day or partial day a juvenile is present in the post-adjudication secure correctional facility at least during all or part of the non-program hours (i.e., sleeping hours); and
3. The day a juvenile is discharged as long as the juvenile is discharged from the post-adjudication secure correctional facility after 12:00 p.m. and was present during all or part of the non-program hours (i.e., sleeping hours).

B. Qualified Local Post-Adjudication Secure Correctional Facilities (Qualified Facility). A post-adjudication secure correctional facility that was built in whole or in part with grant funds from the state of Texas.

COMMENTARY**Discussion and Interpretation:**

This article contains definitions of terms that are used throughout this grant. These definitions will not be monitored independently but will be monitored within the context of the grant requirements wherein the definition appears.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article II Sections A-B

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**

N/A

Reviewer Preparation Checklist Required:

False

Reviewer Preparation Checklist:

N/A

INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:**Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False

V - Local Post-Adjudication Fund**Article III Section A-C**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD	METHODOLOGY
<p>Subchapter: III. Performance Measures</p> <p>A. Goal. The goal of this grant is to reduce delinquency, increase offender accountability and rehabilitate juvenile offenders through a comprehensive coordinated, community-based juvenile probation system and to help defray the cost of operating post-adjudication secure correctional facilities constructed beginning in 1996 using funds from the state of Texas general obligation bond proceeds.</p> <p>B. Program Objective. The objective of this grant is to increase the number of community placement alternatives for juvenile probation offenders.</p> <p>C. Program Performance. Grantee performance under the grant shall be determined using the following measure:</p> <p>1. Total number of placement days in a qualified facility.</p>	<p>Compliance Methodology:</p> <p>Performance measures are calculated by the Commission using data provided by the Grantee and are reported at the end of each fiscal year in the grant period. Appropriate statistical analysis tools are utilized by the Commission in the calculation methodology for each grant.</p> <p>Monitoring Methodology: N/A Sample: N/A Point Value: 0 Total Points Possible: 0 Scoring Methodology: N/A Level: Level 0 - Not Monitorable</p>
COMMENTARY	REFERENCE MATERIAL
<p>Discussion and Interpretation:</p> <p>The overall goal of this grant is to reduce delinquency, increase offender accountability and rehabilitate juvenile offenders through a comprehensive, coordinated, community-based juvenile probation system. This goal is the standard goal of all Commission grants and is not monitored independently.</p> <p>The program objective for this grant describes the purposes and main functions of this grant and shall be monitored based upon the individual program performance measures discussed below. Performance measures will not be monitored during on-site monitoring visits. Performance measures on all grants will be calculated by the Commission after the end of each fiscal year in the grant period.</p> <p>Program Performance</p> <p>Program performance will be assessed using the performance measure outlined in Article III Section C. The Commission will calculate this measure based on information submitted by the Grantee on the Local Post-Adjudication Payment Request Form and verified in the monthly extract data received by the agency.</p> <p>The performance measure will be calculated as described below:</p> <p>Performance measure one (1) calculates the total number of placement days in a qualified facility. A placement day is defined according to the grant as outlined in Article II Section A. Only placements in a qualified facility will be included in the calculation. Qualified Local Secure Post-Adjudication Corrections Facilities are outlined in Article II Section B of the grant.</p>	<p>Recommended Best Practices: N/A</p> <p>Sample Form(s): N/A</p> <p>Citation(s): Grant V - Local Post Adjudication Fund Article III Sections A-C</p> <p>Cross Reference(s): N/A</p> <p>Board Opinion: RFI Opinion:</p>
<p>Reviewer Guidelines:</p> <p>To determine Grantee's compliance with this grant provision, the Reviewer shall:</p> <p>1. Review and analyze data submitted by the Grantee on the Local Post-Adjudication Payment Request Form and in the monthly extract data;</p> <p>2. Calculate the following for each fiscal year of the grant period:</p> <p>a. The total number of placement days in a qualified facility.</p> <p>Verification Documents:</p> <p>1. Local Post-Adjudication Payment Request Form</p> <p>2. Monthly extract data</p>	<p>EFFECTIVE DATES</p> <p>Grant's Effective Date: 9/1/2010 - 8/31/2011 CRM Last Modified On: 01/14/2011</p> <p>PRE-MONITORING PREPARATION</p> <p>Program Tour: False</p> <p>Policy and Procedure: False</p> <p>Pre-Monitoring Checklist: False</p> <p>Pre-Monitoring Preparation Checklist: N/A</p> <p>Reviewer Preparation Checklist Required: False</p> <p>Reviewer Preparation Checklist: N/A</p> <p>INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:</p> <p>Chief Administrative Officer: False Professional Staff: False Program/Facility Administrator: False Juvenile Board Chair: False Ancillary Staff: False Juvenile: False</p>

V - Local Post-Adjudication Fund

V - Local Post-Adjudication Fund**Article IV Section A**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD	EFFECTIVE DATES
<p>Subchapter: IV. Programmatic Components</p> <p>A. Operation of Qualified Facilities. The Grantee shall operate the qualified facility in accordance with the main construction bond funding agreement entitled Agreement for Construction and Operation of Secure Post-Adjudication Juvenile Facility executed between the Grantee, the County Commissioner's Court and the Commission prior to the awarding of the original grant funds used for the construction of the qualified facility.</p>	<p>Grant's Effective Date: 9/1/2010 - 8/31/2011 CRM Last Modified On: 01/14/2011</p>
COMMENTARY	PRE-MONITORING PREPARATION
<p>Discussion and Interpretation:</p> <p>This grant provision will be monitored via an on-site monitoring visit and shall be monitored on an ongoing basis throughout the grant period.</p> <p>Reviewer Guidelines:</p> <p>To determine Grantee's compliance with this grant provision, the Reviewer will:</p> <ol style="list-style-type: none"> 1. Review Grantee's documentation verifying placements claimed. <p>Verification Documents:</p> <ol style="list-style-type: none"> 1. Documentation verifying placements claimed 	<p>Program Tour: False</p> <p>Policy and Procedure: False</p> <p>Pre-Monitoring Checklist: False</p> <p>Pre-Monitoring Preparation Checklist: N/A</p> <p>Reviewer Preparation Checklist Required: False</p> <p>Reviewer Preparation Checklist: N/A</p> <p>INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:</p> <p>Chief Administrative Officer: False Professional Staff: False Program/Facility Administrator: False Juvenile Board Chair: False Ancillary Staff: False Juvenile: False</p>
METHODOLOGY	
<p>Compliance Methodology:</p> <p>This grant requirement shall be monitored using non-sampling techniques which may include the review and analysis of relevant written documentation, interviewing of selected personnel and personal visual observations of program operations made by the Reviewer. A program shall be in compliance with the grant if all required elements of the grant provision are met and are verifiable by the Reviewer during the monitoring visit.</p> <p>Monitoring Methodology: Yes/No Sample: N/A Point Value: 10 Total Points Possible: 20 Scoring Methodology: 0 - 10 Level: Level 2 - Provision of Services</p>	
REFERENCE MATERIAL	
<p>Recommended Best Practices:</p> <p>N/A</p> <p>Sample Form(s):</p> <p>N/A</p> <p>Citation(s):</p> <p>Grant V - Local Post Adjudication Fund Article IV Section A</p> <p>Cross Reference(s):</p> <p>N/A</p> <p>Board Opinion:</p> <p>RFI Opinion:</p>	

V - Local Post-Adjudication Fund

V - Local Post-Adjudication Fund**Article IV Section B**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD	EFFECTIVE DATES
<p>Subchapter: IV. Programmatic Components</p> <p>B. Target Population. Juveniles who have been adjudicated and court-ordered by a Texas juvenile court into a qualified facility.</p>	<p>Grant's Effective Date: 9/1/2010 - 8/31/2011 CRM Last Modified On: 01/14/2011</p>
COMMENTARY	PRE-MONITORING PREPARATION
<p>Discussion and Interpretation:</p> <p>This grant provision will be monitored via an on-site monitoring visit and shall be monitored on an ongoing basis throughout the grant period.</p> <p>Juveniles are eligible if they have been adjudicated and court ordered into the qualified facility by a Texas juvenile court.</p> <p>Reviewer Guidelines:</p> <p>To determine Grantee's compliance with this grant provision, the Reviewer shall:</p> <ol style="list-style-type: none"> 1. Review Grantee's data to determine juvenile eligibility. <p>Verification Documents:</p> <ol style="list-style-type: none"> 1. Documentation verifying eligibility 	<p>Program Tour: False</p> <p>Policy and Procedure: False</p> <p>Pre-Monitoring Checklist: False</p> <p>Pre-Monitoring Preparation Checklist: N/A</p> <p>Reviewer Preparation Checklist Required: False</p> <p>Reviewer Preparation Checklist: N/A</p> <p>INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:</p> <p>Chief Administrative Officer: False Professional Staff: False Program/Facility Administrator: False Juvenile Board Chair: False Ancillary Staff: False Juvenile: False</p>
METHODOLOGY	
<p>Compliance Methodology:</p> <p>This grant requirement shall be monitored using non-sampling techniques which may include the review and analysis of relevant written documentation, interviewing of selected personnel and personal visual observations of program operations made by the Reviewer. A program shall be in compliance with the grant if all required elements of the grant provision are met and are verifiable by the Reviewer during the monitoring visit.</p> <p>Monitoring Methodology: Yes/No Sample: N/A Point Value: 10 Total Points Possible: 20 Scoring Methodology: 0 - 10 Level: Level 2 - Provision of Services</p>	
REFERENCE MATERIAL	
<p>Recommended Best Practices:</p> <p>N/A</p> <p>Sample Form(s): N/A</p> <p>Citation(s): Grant V - Local Post Adjudication Fund Article IV Section B</p> <p>Cross Reference(s): N/A</p> <p>Board Opinion: RFI Opinion:</p>	

V - Local Post-Adjudication Fund

V - Local Post-Adjudication Fund**Article IV Section C**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** IV. Programmatic Components

C. Qualified Placement Day. Grantee is eligible for payment under this grant in the amount of \$21.25 for each eligible placement day for each juvenile who is in the target population to the extent funding is available under this grant.

COMMENTARY**Discussion and Interpretation:**

This grant provision will not be monitored via an on-site monitoring visit but shall be monitored on an ongoing basis throughout the grant period.

The Grantee shall be paid the amount of \$21.25 per eligible placement day for each juvenile offender placed in the qualified facility. If the Grantee contracts with other juvenile departments that place offenders in the qualified facility, the Grantee may reduce the daily rate by \$21.25.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article IV Section C

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False

Pre-Monitoring Preparation Checklist:
N/A

Reviewer Preparation Checklist Required:
False

Reviewer Preparation Checklist:
N/A

INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:**Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False

V - Local Post-Adjudication Fund**Article V Section A-D**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** V. Programmatic Reporting

A. Annual Reports. See General Grant Requirements.

B. Quarterly Reports. Grantee shall submit the total number of qualified placement days for each quarter in a format specified by the Commission.

C. Monthly Reports. Grantee shall submit monthly extract data electronically to the Commission no later than the 10th calendar day of each month following the reporting period as required in Title 37, Texas Administrative Code, Sections 341.49 and 341.54 or successor provisions which may be amended or adopted during the term of this Contract.

D. Other Periodic Reports. See General Grant Requirements.

COMMENTARY**Discussion and Interpretation:**

Compliance with this grant provision is not monitored during an on-site monitoring visit but is monitored throughout the term of the grant. This grant has various programmatic reporting requirements that are applicable at different times throughout the grant period. These are discussed below.

Annual Reports

This grant does not require annual reports other than what is stated in the General Grant Requirements. Annual reports due under General Grant Requirements will be discussed and monitored under that grant.

Quarterly Reports

Grantee shall submit the total number of qualified placement days for each quarter, in the designated field, on the quarterly expenditure report.

Monthly Reports

The Grantee shall submit monthly extract data to the Commission pursuant to Title 37, Texas Administrative Code, Sections 341.49 and 341.54 in compliance with the Electronic Data Interchange (EDI) standards. Grantee shall submit monthly extract data electronically to the Commission no later than the 10th calendar day of each month following the reporting period.

Compliance with this grant will be monitored by the Research and Statistics Division of the Commission. Monthly extract data shall be submitted on or before the 10th calendar day of each month following the reporting period. After the extract data due date, the Commission will generate the Monthly Folder Extract Availability Report to determine delinquency in reporting. If the Grantee submits the extract data after the 10th calendar day of the month the Grantee shall be considered non-compliant and shall receive a delinquency notice. Failure to submit the monthly extract data within the required timeframe after issuance of the delinquency notice shall result in the issuance of a Non-Compliance Citation Report (NCCR) by the Commission.

Other Periodic Reports

This grant has no periodic reporting requirements in addition to those required in the General Grant Requirements. Periodic reports due under General Grant Requirements will be discussed and monitored under that grant.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s): N/A**Citation(s):**

Grant V - Local Post Adjudication Fund Article V Sections A-D

Cross Reference(s):General Grant Requirements - All Grants
Article V Sections A-D**Board Opinion:****RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:** N/A**Reviewer Preparation Checklist Required:**
False**Reviewer Preparation Checklist:** N/A**INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:****Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False**V - Local Post-Adjudication Fund**

V - Local Post-Adjudication Fund**Article VI Section A-D**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD	EFFECTIVE DATES
<p>Subchapter: VI. Programmatic Monitoring</p> <p>A. On-Site Monitoring Visits. See General Grant Requirements.</p> <p>B. Unannounced On-Site Monitoring Visits. See General Grant Requirements.</p> <p>C. Desk Audits. See General Grant Requirements.</p> <p>D. Other. None.</p>	<p>Grant's Effective Date: 9/1/2010 - 8/31/2011 CRM Last Modified On: 01/14/2011</p>
COMMENTARY	PRE-MONITORING PREPARATION
<p>Discussion and Interpretation:</p> <p>Section VI of this grant addresses the types of monitoring that may be utilized by the Commission to determine compliance with the provisions of the grant and references the General Grant Requirements that provide a full description of the variety of monitoring methodologies. There are no specialized programmatic monitoring techniques (i.e., Other) applicable to this grant. This grant provision is for informational purposes only and will not be monitored.</p> <p>Reviewer Guidelines:</p> <p>N/A</p> <p>Verification Documents:</p> <p>N/A</p>	<p>Program Tour: False</p> <p>Policy and Procedure: False</p> <p>Pre-Monitoring Checklist: False</p> <p>Pre-Monitoring Preparation Checklist: N/A</p> <p>Reviewer Preparation Checklist Required: False</p> <p>Reviewer Preparation Checklist: N/A</p> <p>INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:</p> <p>Chief Administrative Officer: False Professional Staff: False Program/Facility Administrator: False Juvenile Board Chair: False Ancillary Staff: False Juvenile: False</p>
METHODOLOGY	
<p>Compliance Methodology:</p> <p>N/A</p> <p>Monitoring Methodology: N/A Sample: N/A Point Value: 0 Total Points Possible: 0 Scoring Methodology: N/A Level: Level 0 - Not Monitorable</p>	
REFERENCE MATERIAL	
<p>Recommended Best Practices:</p> <p>N/A</p> <p>Sample Form(s): N/A</p> <p>Citation(s): Grant V - Local Post Adjudication Fund Article VI Sections A-D</p> <p>Cross Reference(s): N/A</p> <p>Board Opinion: RFI Opinion:</p>	

V - Local Post-Adjudication Fund

V - Local Post-Adjudication Fund**Article VII Section A-C**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD	REFERENCE MATERIAL
<p>Subchapter: VII. Applicable Law, Policy and Procedure</p> <p>APPLICABLE LAW, POLICY AND PROCEDURE. The Grantee shall comply with the following applicable state laws, federal laws, standards, policies and procedures as they currently exist or as amended or renumbered and enacted and effective during the term of this Contract:</p> <p>A. Applicable Standards.</p> <p>1. Texas Administrative Code (TAC) Title 37 Public Safety and Corrections, Chapters 341 – 358.</p> <p>B. General Statutes.</p> <p>1. Federal Law. See General Grant Requirements.</p> <p>2. State Law. See Also General Grant Requirements.</p> <p>a. Texas Family Code, Title III, Juvenile Justice Code.</p> <p>i. Chapter 60 – Uniform Interstate Compact for Juveniles.</p> <p>b. Texas General Appropriations Act.</p> <p>c. Texas Government Code, Title 7, Uniform Grant and Contract Management.</p> <p>i. Chapter 783 - Uniform Grant and Contract Management Act.</p> <p>C. Policy and Procedure.</p> <p>1. Texas Juvenile Probation Compliance Resource Manual, Volumes 1 – 2.</p>	<p>Recommended Best Practices:</p> <p>N/A</p> <p>Sample Form(s):</p> <p>N/A</p> <p>Citation(s):</p> <p>Grant V - Local Post Adjudication Fund Article VII Sections A-C</p> <p>Cross Reference(s):</p> <p>N/A</p> <p>Board Opinion:</p> <p>RFI Opinion:</p>
<p>COMMENTARY</p> <p>Discussion and Interpretation:</p> <p>This grant is governed by a variety of applicable state and federal laws, regulations and policies. This grant provision details the specific laws and policies that are applicable to the grant. This provision will not be monitored independently herein this grant but compliance with all monitored laws and regulations will be monitored in the General Grants Requirements and/or within the context of the specific grant provision wherein the requirement most logically fits.</p> <p>Reviewer Guidelines:</p> <p>N/A</p> <p>Verification Documents:</p> <p>N/A</p>	<p>EFFECTIVE DATES</p> <p>Grant's Effective Date: 9/1/2010 - 8/31/2011 CRM Last Modified On: 01/14/2011</p> <p>PRE-MONITORING PREPARATION</p> <p>Program Tour: False</p> <p>Policy and Procedure: False</p> <p>Pre-Monitoring Checklist: False</p> <p>Pre-Monitoring Preparation Checklist:</p> <p>N/A</p> <p>Reviewer Preparation Checklist Required:</p> <p>False</p> <p>Reviewer Preparation Checklist:</p> <p>N/A</p> <p>INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:</p> <p>Chief Administrative Officer: False Professional Staff: False Program/Facility Administrator: False Juvenile Board Chair: False Ancillary Staff: False Juvenile: False</p>
<p>METHODOLOGY</p> <p>Compliance Methodology:</p> <p>N/A</p> <p>Monitoring Methodology: N/A Sample: N/A Point Value: 0 Total Points Possible: 0 Scoring Methodology: N/A Level: Level 0 - Not Monitorable</p>	

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V - Local Post-Adjudication Fund**Article VIII Section A-B**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** VIII. Financial Components

A. Allowable Expenditure of Funds. Grant funds shall only be expended for the reasonable and necessary expenses of the operation of the qualified facility.

B. Unallowable Expenditure of Funds. All expenditures other than those listed in Section VIII (A) are unallowable.

COMMENTARY**Discussion and Interpretation:**

This grant provision addresses the allowable and unallowable expenditure of funds received under this grant. Expenditures shall be made in accordance with Generally Accepted Accounting Principles (GAAP), the Commission's Expenditure Guidelines in General Grant Requirements - All TJPC Grants and shall be expended and classified as outlined by the budget categories in Article VIII, Sections A and B of this grant. The Expenditure Guidelines in General Grant Requirements - All TJPC Grants may be found on the Commission's website at www.tjpc.state.tx.us. If any expenditure fails to meet these requirements, the Grantee shall be in non-compliance with these grant provisions. Allowable expenditures under this grant shall only be expended in the operation of select secure post-adjudication correctional facilities which are listed in Article II Section (B). The category allowable under this grant is as follows:

1. Staff Services

The staff services category may include, but is not limited to:

- a. Salaries and fringe benefits for staff employed by the juvenile probation department under the direction of the Grantee; and
- b. Operating expenses for the juvenile probation department (e.g., postage, telephone, office supplies, printing and other costs, etc.) directly related to juvenile probation services, juvenile justice programs and administration.

Unallowable Expenditures

Expenditures in the travel expense line item in the staff services category is unallowable.

Expenditures in the Non-Residential Services category and the Residential Services category are unallowable.

Financial Documentation

The Grantee shall maintain complete and accurate accounting records for all expenditure paid with funding from this grant. The accounting records shall include all supporting documentation substantiating an expenditure. All financial documentation shall be maintained according to GAAP. The types of documentation that support an expenditure includes, but are not limited to the following:

1. Requisitions or purchase orders;
2. Receipts;
3. Packing slips;
4. Invoices;
5. Timesheets;
6. Payroll;

7. Mileage reports;

8. Cancelled checks; and

9. Bank statements.

The exact type of documentation that will be reviewed is based on the type of expenditure that is identified. To determine whether the expenditure is allowable or unallowable is based on expenditure guidelines in General Grant Requirements - All TJPC Grants found on the Commission's website at www.tjpc.state.tx.us.

Expenditure Detail Report

To determine compliance with this grant provision, the Commission shall request a detailed system generated expenditure report. This report shall list all of the grant specific transactions and may be listed alphabetically, chronologically or by fund/account. Each transaction (i.e., expenditure) shall be properly recorded in the Grantee's general ledger from which the expenditure detail report is generated. The system generated expenditure report can normally be obtained from the Grantee's Fiscal Officer.

To determine compliance with all salaries and fringe benefits paid out of this grant, the Grantee shall provide a system generated report showing all salaries and fringe paid out of this grant. The report shall display salaries and fringe benefits by date, employee, amount, and grant. The system generated report shall include all funding sources.

Reviewer Guidelines:

To determine compliance with this grant provision, the Reviewer will:

1. Prior to the monitoring visit, request a system generated expenditure detail report for this grant for the grant period;
2. Select a systematic sample of transactions from the expenditure detail report for review. The Reviewer will request that the Grantee provide payment vouchers with applicable supporting documentation attached for each selected transaction in the sample;
3. Analyze the expenditure documentation for allowability. The Reviewer will verify that each expenditure is in compliance with the requirements in Article VIII Sections A and B of this grant;
4. Analyze the expenditure to assure the payment is a reasonable and necessary expenditure under this grant;
5. Verify whether the payment is correctly coded to the grant being reviewed;

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6. Identify whether the date the expenditure occurred or was encumbered during the grant period; and

7. Verify that the payment cleared the bank by reviewing substantiating documentation (e.g., cancelled checks, bank statements, etc.) and that the amount matched the approved document total.

The Reviewer may:

1. Interview appropriate personnel regarding the reviewed documentation.

Verification Documents:

1. System generated general ledger (Excel Spreadsheets are not appropriate)

2. Chart of accounts

3. System generated expenditure detail report (Excel Spreadsheets are not appropriate)

4. Payment voucher

5. Supporting documentation:

a. Requisition/purchase order;

b. Invoice/billing instrument;

c. Receipts and/or packing slip;

d. Mileage log;

e. Timesheets and payroll documentation; and

f. Other acceptable documentation

6. External documentation:

a. Bank statements, cancelled checks, EFT transaction; and

b. Other acceptable documentation

METHODOLOGY**Compliance Methodology:**

The grants TJPC audits are predetermined. Expenditure reports for this grant are utilized to determine if expenditures are allowable. The following are the steps for determining the number of records that will be reviewed:

1. The department's juvenile population size determines the total number of transactions reviewed;

2. The number of transactions audited for this grant is determined by this grant's proportionate percentage of the department's total state funding; and

3. A random sampling technique is used to select the transactions that will be reviewed. TJPC uses a randomizer number table to draw a simple random sample by making a complete list of all the transactions, assigning each a number and then electronically drawing a set of random numbers which identifies the nth transaction to be sampled.

The Reviewer may discretionarily select expenditures outside the random sample.

Monitoring Methodology: N/A

Sample: N/A

Point Value: 10

Total Points Possible: 30

Scoring Methodology: 0 - 10

Level: Level 3 - Critical

REFERENCE MATERIAL**Recommended Best Practices:**

N/A

Sample Form(s):

1. Annual Budget Application

2. Expenditure Guidelines in General Grant Requirements - All TJPC Grants

3. System generated expenditure detail report

Citation(s):

Grant V - Local Post Adjudication Fund Article VIII Section A-B

Cross Reference(s):

N/A

Board Opinion:

RFI Opinion:

EFFECTIVE DATES

Grant's Effective Date: 9/1/2010 - 8/31/2011

CRM Last Modified On: 01/14/2011

PRE-MONITORING PREPARATION

Program Tour: False

Policy and Procedure: False

Pre-Monitoring Checklist: False

Pre-Monitoring Preparation Checklist:

N/A

Reviewer Preparation Checklist Required:

False

Reviewer Preparation Checklist:

N/A

INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:

Chief Administrative Officer: False

Professional Staff: False

Program/Facility Administrator: False

Juvenile Board Chair: False

Ancillary Staff: False

Juvenile: False

V - Local Post-Adjudication Fund

V - Local Post-Adjudication Fund**Article VIII Section C**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

V - Local Post-Adjudication Fund**TEXT OF STANDARD****Subchapter:** VIII. Financial Components

C. Financial Match Requirements. See General Grant Requirements.

COMMENTARY**Discussion and Interpretation:**

There is no financial match requirement for this grant.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article VIII Section C

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**
N/A**Reviewer Preparation Checklist Required:**
False**Reviewer Preparation Checklist:**
N/A**INTERVIEW QUESTIONS MAY BE
ADMINISTERED TO THE FOLLOWING:****Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False

V - Local Post-Adjudication Fund**Article VIII Section D**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** VIII. Financial Components

D. Funding Adjustments.

1. Reduction of Grant Payments. See General Grant Requirements.
2. Unexpended Balances. See General Grant Requirements.
3. Overpayments. At the end of each quarter, eligible placement days will be reconciled and grant payments to the Grantee may be reduced or suspended in order for the allocations to be equalized.
4. Refunds Due. See General Grant Requirements.

COMMENTARY**Discussion and Interpretation:**

Compliance with funding adjustment requirements for this grant will be monitored and provisions discussed in detail under the General Grant Requirements.

Overpayments

The Grantor will multiply the qualified placement days times \$21.25 at the end of each quarter to ensure allocations are equalized.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article VIII Section D

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**

N/A

Reviewer Preparation Checklist Required:

False

Reviewer Preparation Checklist:

N/A

INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:**Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False

V - Local Post-Adjudication Fund**Article VIII Section E**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** VIII. Financial Components

E. Timely Expenditure of Grant Funds. See General Grant Requirements.

COMMENTARY**Discussion and Interpretation:**

This grant provision is not monitored on site. During the review of the quarterly expenditure reports, the Commission will determine whether grant funds are expended in a timely manner. If it is determined by the Commission that the Grantee failed to expend the grant funds in a timely manner, a Non-Compliance Citation Report (NCCR) may be issued by the Commission.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article VIII Section E

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**
N/A**Reviewer Preparation Checklist Required:**
False**Reviewer Preparation Checklist:**
N/A**INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:****Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False

V - Local Post-Adjudication Fund**Article VIII Section F**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

V - Local Post-Adjudication Fund**TEXT OF STANDARD****Subchapter:** VIII. Financial Components

F. Audits. See General Grant Requirements.

COMMENTARY**Discussion and Interpretation:**

Compliance with the audit provisions of this grant will be monitored under the General Grant Requirements audit provisions and discussed in detail in that section.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article VIII Section F

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**
N/A**Reviewer Preparation Checklist Required:**
False**Reviewer Preparation Checklist:**
N/A**INTERVIEW QUESTIONS MAY BE
ADMINISTERED TO THE FOLLOWING:****Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False**V - Local Post-Adjudication Fund**

V - Local Post-Adjudication Fund**Article VIII Section G**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** VIII. Financial Components

G. Financial Assurances. See General Grant Requirements.

COMMENTARY**Discussion and Interpretation:**

This grant has no special financial assurances in addition to the financial assurances contained in the General Grant Requirements and compliance with the assurances will be tested via the independent audit. The general financial assurances are discussed in the General Grant Requirements.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article VIII Section G

Cross Reference(s):

N/A

Board Opinion:

RFI Opinion:

EFFECTIVE DATES**Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**
N/A**Reviewer Preparation Checklist Required:**
False**Reviewer Preparation Checklist:**
N/A**INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:****Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False

V - Local Post-Adjudication Fund**Article VIII Section H**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

V - Local Post-Adjudication Fund**TEXT OF STANDARD****Subchapter:** VIII. Financial Components

H. Service Provider. See General Grant Requirements.

COMMENTARY**Discussion and Interpretation:**

Compliance with the service provider requirements will be monitored under the General Grant Requirements. The service provider requirements are discussed in the General Grant Requirements.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article VIII Section H

Cross Reference(s):

N/A

Board Opinion:

RFI Opinion:

EFFECTIVE DATES**Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**
N/A**Reviewer Preparation Checklist Required:**
False**Reviewer Preparation Checklist:**
N/A**INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:****Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False**V - Local Post-Adjudication Fund**

V - Local Post-Adjudication Fund**Article IX Section A**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** IX. Financial Reporting**A. Annual Reports.**

The Grantee shall submit a completed Annual Budget Application concurrent with the submission of the executed State Financial Assistance Contract for the Commission's approval. The Annual Budget Application is found on the Commission's website and shall be submitted electronically as specified by the Commission per fiscal year for the current grant period.

COMMENTARY**Discussion and Interpretation:**

For fiscal year 2010, this grant requires an annual report which is the Annual Budget Application to be submitted each fiscal year. This document is a Microsoft Excel spreadsheet that shall be completed detailing the budget for the grant. The Annual Budget Application also includes the Designation of Key Personnel Spreadsheet that is in the Excel format that shall be completed and submitted one time for all grants. The Annual Budget Application shall be submitted concurrently with the submission of the executed State Financial Assistance Contract. The completed spreadsheet document shall be submitted electronically via email to Judy Ybarbo, the Commission's Contract Administrator at judy.ybarbo@tjpc.state.tx.us.

The executed State Financial Assistance Contract shall be mailed to the Commission and the signature page and juvenile board resolution shall have original signatures. The contract signature page shall include the original signatures of the juvenile board chairperson, chief juvenile probation officer and fiscal officer. The juvenile board resolution shall include the names of all board members in attendance during the juvenile board meeting wherein the contract was approved for execution.

The Grantee's juvenile board shall comply with the Texas Open Meetings Act as codified in Texas Government Code Chapter 551 when approving and executing the State Financial Assistance Contract and all required documents. This Act states that meetings of governmental bodies must be open to the public, except for expressly authorized executive sessions, and that the public must be given notice of the time, place, and subject matter of meetings of governmental bodies. To be legally binding and enforceable, any action of a juvenile board must occur in a posted, open meeting that complies with the requirements of the Open Meetings Act. A quorum of the juvenile board is required to be present before the board may act. Documentation of the action must be reflected in the meeting minutes, formal written resolutions, or other written documentation. The juvenile board may act to authorize the chairperson of the board to take certain actions on behalf of the board as long as the initial action meets the requirements of the Open Meetings Act, is subsequently ratified by the juvenile board and is so documented.

The Grantee shall submit the executed contract and all required documents and files such as the Annual Budget Application before any distributions are made under the State Financial Assistance Contract. Upon approval and execution of the State Financial Assistance Contract by the Commission, a copy will be mailed to the juvenile probation department.

The Annual Budget Application and the Designation of Key Personnel Spreadsheet, may be downloaded from the Commission's website at www.tjpc.state.tx.us.

For fiscal year 2011, the Grantee shall enter the Annual Budget Application on Grant Manager, the Commission's web-based system. Grant Manager requires a user name and password provided by the Commission's MIS division to gain entry to the system. The Grantee shall enter the budget for each grant separately by category. Once all grant budgets have been entered and Grant Manager declares the budget complete, the Grantee shall submit to the Commission by clicking SUBMIT.

Upon approval of the Grantee's budget on Grant Manager, the Grantee will receive an email affirming finalization. Payment to the Grantee will then fall into the regular disbursement cycle.

Username and password for Grant Manager may be acquired by contacting the Commission's MIS unit at 512-424-6700.

Reviewer Guidelines:

To determine Grantee's compliance with this grant provision, the Reviewer will:

1. Upon receipt of the State Financial Assistance Contract, verify the presence of the required original signatures on the signature page of the State Financial Assistance Contract and the accompanying juvenile board resolution evidencing authority to contract;
2. Verify all required key personnel have been identified in the Designation of Key Personnel Spreadsheet;
3. Compare and verify grant totals identified in the State Financial Assistance Contract to the budget summary worksheets for consistency;
4. Compare all budget worksheets to verify consistency on intended use of funds;
5. Review all budget worksheets to determine allowable costs, unallowable costs and verify all calculations for accuracy. The Reviewer will:
 - a. Examine the supporting documentation under the budget category "Other" within the Non-Residential Services category to verify that there is an adequate description of the program and services to be paid for with grant funds; and
6. Conduct an analysis of the unexpended funds for this grant for the past two fiscal years to determine whether greater than 10% of the grant funds were unexpended in each of the past two fiscal years. If so, determine whether a funds reduction may apply.

Verification Documents:

1. Annual Budget Application
2. Designation of Key Personnel Spreadsheet
3. Juvenile Board Resolution
4. State Financial Assistance Contract
5. Quarterly Expenditure Report

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**V - Local Post-Adjudication Fund**

V - Local Post-Adjudication Fund**Article IX Section A**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

Scoring Methodology: N/A
Level: Level 0 - Not Monitorable

REFERENCE MATERIAL**Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article IX Section A

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**

N/A

Reviewer Preparation Checklist Required: False**Reviewer Preparation Checklist:**

N/A

INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:**Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False**V - Local Post-Adjudication Fund**

V - Local Post-Adjudication Fund**Article IX Section B**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter: IX. Financial Reporting**

B. Quarterly Reports. The Grantee shall report the expenditure of all funds received through this grant on the Quarterly Expenditure Report. Reports shall be received by the Commission no later than January 1, April 1, July 1 and October 1 of the current fiscal year of the biennium, respectively. Funds may be temporarily suspended if a Quarterly Expenditure Report is not received by the due date.

COMMENTARY**Discussion and Interpretation:**

This grant provision requires the submission of quarterly reports. Quarterly expenditure reports are generated for this grant by the Commission. These reports are mailed to the designated Fiscal Officer thirty (30) calendar days before the due date. The first quarter (September – November) reports are mailed out December 1st and due January 1st. Second quarter reports (December – February) are mailed out March 1st and due April 1st. Third quarter reports (March – May) are mailed out June 1st and due July 1st. Fourth quarter reports (June – August) are mailed out September 1st and due October 1st.

In addition to documenting expenditures, the review of the quarterly expenditure report determines whether expenditures are allowable or unallowable and ensures timely utilization of funds to prevent excess unexpended funds at the end of the fiscal year. The reports can identify areas of concern during the fiscal year such as unfilled positions and funds not being utilized for juvenile programs and services.

Report Data

The Commission will pre-print the following data on each report. The due date is printed in the upper right hand corner of the report in the MM/DD/YYYY format. The numbered data elements on the report are discussed below:

1. Jurisdiction. The county name or lead county for a judicial district or multi-county jurisdiction;
2. Agreement #. The name of the grant, the grant identification letter and the county number;
3. Contract Period. The State Financial Assistance Contract period (includes a begin and end date in the MM/DD/YYYY format);
4. Reporting Period. The quarter that is currently being reported (includes a begin and end date in the MM/DD/YYYY format);
5. Report Type. The Grantee shall indicate if the report is a "regular-quarterly" or "final" (Grantee will circle one on the expenditure report). If the balance of the report is zero, circle "final";
- 6-10. The Service Categories which are the same as budget categories as identified in the annual budget application worksheets and include Salaries & Fringe Benefits, Travel, Operating Expenses, Non-Residential Services and Residential Services;

Column A/Budget. The approved allocation of funding for this specific grant by budget categories; and

Column B/Previous Expenditures. These items represent a cumulative total of expenditures reported previously. If an error has been made or the amount is not accurate, then any correction should be made in the appropriate service category. (Items #6 – 10).

Information To Be Completed By Fiscal Officer or Authorized Designee.

Column C. These items represent expenditures during the quarter that is currently being reported. To correct any errors, use brackets to report negative amounts (i.e., < >).

Column D. These items represent the budgeted amounts minus previous expenditures minus current expenditures that are being reported for each service category. Do not show a negative amount in the total balance. If the balance is zero and the expenditure report is not reporting 4th quarter expenditures, the Commission's financial system will not generate additional reports for the next quarter.

11. Total of each column.

12. Signature. The report shall be signed by the Fiscal Officer and Chief Juvenile Probation Officer/Project Director.

Fourth Quarter Expenditure Reports

Fourth quarter expenditure reports shall be prepared on the modified accrual basis of accounting. All obligations incurred, but not paid as of August 31st of each fiscal year of the grant period, shall be accrued and reported on the fourth quarter expenditure report. If there is a remaining balance identified on the 4th quarter expenditure report, a refund check is due from the Grantee to the Commission no later than November 1st of the next fiscal year. Expenditures identified on the first, second and third quarterly expenditure report shall be on a cash basis.

This grant provision is not monitored during an on-site monitoring visit but is monitored throughout the term of the grant. If the Grantee fails to comply with the grant provision, the Commission may issue a Non-Compliance Citation Report (NCCR).

The Quarterly ISP and Residential Cost Report

The Quarterly ISP and Residential Cost Report is designed to gather state and local expenditures for Intensive Supervision Probation (ISP) and all Residential Placements. The Quarterly ISP and Residential Cost Report may be found on the Commissions website at www.tjpc.state.tx.us.

Expenditures for ISP include all expenditures made during the quarter for the provision of ISP services regardless of the funding source. Residential placements include secure post adjudication correctional facilities and non-secure residential placement facilities. Expenditures for pre-adjudication detention facility or short-term detention facility (i.e., holdover) placements should not be included. Placements made in a facility operated by the county or on behalf of the county though a

V - Local Post-Adjudication Fund

V - Local Post-Adjudication Fund**Article IX Section B**

Effective date 9/1/2010 - 8/31/2011

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contract with a private vendor shall be considered County Operated Placements. Costs to operate the facility shall be included. Placements contracted by the Grantee shall be considered Purchased Placements.

Expenditures shall be categorized TJPC expenditures and Non-TJPC expenditures. TJPC expenditures include all funds received through the Commission including IV-E funds. Non- TJPC expenditures include all local, other federal or other funds expended by the Grantee for ISP and residential placements.

The report shall be signed by the person completing the report and by the Chief Juvenile Probation Officer.

Fourth Quarter ISP and Residential Cost Reports

Expenditures identified on the first, second and third quarterly expenditure report shall be on a cash basis. The report due for the fourth quarter shall include all obligations incurred, but not paid as of August 31st of each fiscal year of the grant period.

This grant provision is not monitored during an on-site monitoring visit but is monitored throughout the term of the grant. If the Grantee fails to comply with the grant provision, the Commission shall issue a Non-Compliance Citation Report (NCCR).

Reviewer Guidelines:

To determine Grantee's compliance with this grant provision, the Reviewer shall:

For the Quarterly Expenditure Report:

1. Verify the quarterly expenditure report was submitted by the Grantee, on or before the due date;
2. Verify the report has the required signatures;
3. Verify the report is for the correct time period;
4. Review the balances for negative amounts;
5. Compare the budgeted amounts and previous expenditures on the expenditure report to amounts in the Commission's financial system to verify consistency;
6. Analyze whether the funds have been expended in a timely manner; and
7. Determine whether the expenditure is allowable under the grant provisions.

For the Quarterly ISP and Residential Cost Report:

1. Verify the ISP and Residential Cost Report was submitted by the Grantee on or before the due date;
2. Verify the report has the required signatures;
3. Verify the report is for the correct time period;
4. Review the balances for negative amounts; and
5. Compare the expended amounts to the total juvenile ISP and residential bed days in order to verify accuracy and consistency.

Verification Documents:

1. Quarterly Expenditure Report
2. Annual Budget Application
3. Quarterly ISP and Residential Cost Report
4. Monthly data extract

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article IX Section B

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**

N/A

Reviewer Preparation Checklist Required:

False

Reviewer Preparation Checklist:

N/A

INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:**Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False**V - Local Post-Adjudication Fund**

V - Local Post-Adjudication Fund**Article IX Section C**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

V - Local Post-Adjudication Fund**TEXT OF STANDARD****Subchapter:** IX. Financial Reporting

C. Monthly Reports. None.

COMMENTARY**Discussion and Interpretation:**

This grant has no required monthly financial reports.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article IX Section C

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**
N/A**Reviewer Preparation Checklist Required:**
False**Reviewer Preparation Checklist:**
N/A**INTERVIEW QUESTIONS MAY BE
ADMINISTERED TO THE FOLLOWING:****Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False**V - Local Post-Adjudication Fund**

V - Local Post-Adjudication Fund**Article IX Section D**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** IX. Financial Reporting

D. Other Periodic Reports. See General Grant Requirements.

COMMENTARY**Discussion and Interpretation:**

This grant provision requires a budget adjustment for any adjustment to the original budget. The Budget Adjustment Request form is utilized as a mechanism to transfer funds from one budget category to another budget category within a specific grant. A budget adjustment request per each fiscal year of the designated grant period can be submitted through October 1st of the next fiscal year. All budget adjustments shall be pre-approved by the Commission. This form can be found on the Commission website at www.tjpc.state.tx.us.

The Budget Adjustment Request form shall be completed and mailed to Judy Ybarbo, Contract Administrator, with original signatures from the chief juvenile probation officer, fiscal officer or authorized designee. The following information shall be completed by the chief juvenile probation officer, fiscal officer or authorized designee:

1. Jurisdiction. The county name or lead county for a judicial district or multi-county jurisdiction;

2. Agreement/Contract #. This field requires the name of the grant, the grant identification letter, fiscal year and county number;

3. Date: This field requires the date of the completion of the Budget Adjustment Request;

4-9. Service Categories. Service Categories are the same as budget categories as identified in the annual budget application worksheets. The following columns are applicable:

- Column A - the approved allocation of funding for this specific grant by budget categories (include amended budget from previous budget adjustment requests);

- Column B – the adjusted amounts should be identified as a decrease in brackets < > and an increase with the plus sign + in front of the adjusted amount;

- Column C – the original budget amount minus <> or plus + the adjustment amount to equal the amended amount.

10. Detailed Explanation. In the detailed explanation field, address the basis for the request and refer to each specific service categories amount that will be amended. This is a generic form and not all expenditures are authorized under this grant. Review service categories definitions identified below:

- Salaries and fringe benefits - staff employed by the department under the direction of the Grantee;

- Travel for official business of authorized staff at the county rate or if in a multi-county jurisdiction, at the rate of the lead county. If the county has no established rate, then the county shall use the established State of Texas rate:

1. In no event can reimbursement rates exceed the established travel rates for the state of Texas;

- Operating expenses for the juvenile probation department (e.g., postage, telephone, office supplies, printing and other costs, etc.) directly related to juvenile probation programs, services or administration.;

- Non-Residential Services. A service or program provided to a juvenile who has not been placed in a residential facility or a service or program provided to a juvenile who has been placed in a residential setting, but the service or program is not included in

the cost per day for the juvenile's placement. The following services/programs are considered non-residential including:

a. Psychological, psychiatric and other professional diagnostic, evaluation and therapeutic treatment services;

b. Medical and dental diagnosis, evaluation, treatment and supplies;

c. Vocational and educational fees and supplies;

d. Related programs, services, supplies and tutoring not provided by public schools;

e. Transportation and meals;

f. Clothing and personal hygiene supplies; and

g. Programs and services approved in writing in advance by the Commission including professional and contractual services.

- Residential services means the provision of services to a juvenile that has been placed in a secure pre-adjudication detention facility, a short-term detention facility (i.e., holdover), a post-adjudication correctional facility, or a non-secure residential placement facility operated by or under the authority of the Grantee. This category also includes services contracted to a third-party service provider in any non-secure placement facility licensed and/or operated by or under the authority of another governmental entity under the laws of this state or another state.

11. Authorized Signature – The budget adjustment request form should be signed by the chief juvenile probation officer/project director, the fiscal officer or authorized designee.

Approved Budget Adjustment Report

The Commission's financial system will automatically print an approved budget adjustment report. The approval form is signed by the Commission's Contract Administrator and the Chief Financial Officer or Deputy Chief Financial Officer. A copy of the report is mailed to the chief juvenile probation officer and fiscal officer.

This grant provision is not monitored during an on-site monitoring visit but is monitored throughout the term of the grant. If the Grantee fails to comply with the grant provision, the Commission may issue a Non-Compliance Citation Report (NCCR).

Reviewer Guidelines:

To determine Grantee's compliance with this grant provision, the Reviewer will:

V - Local Post-Adjudication Fund

V - Local Post-Adjudication Fund**Article IX Section D**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

1. Upon receipt of a budget adjustment request, examine the county's name, grant name, fiscal year and authorized signature for accuracy;
2. Determine whether the adjustment is allowable under the grant provisions;
3. Review the calculations for accuracy;
4. Compare the budgeted amounts to the Commission's financial system for consistency;
5. Enter the budget adjustment into the Commission's financial system wherein the system shall automatically calculate the amended budget; and
6. Compare the amended budget submitted on the Budget Adjustment Request form to the Commission's financial system.

Verification Documents:

1. Budget Adjustment Request Form

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article IX Section D

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**

N/A

Reviewer Preparation Checklist Required:

False

Reviewer Preparation Checklist:

N/A

INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:**Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False

V - Local Post-Adjudication Fund**Article X Section A-B**

Effective date 9/1/2010 - 8/31/2011

Latest Revision 01/14/2011

TEXT OF STANDARD**Subchapter:** X. Financial Monitoring

A. Annual Monitoring. See General Grant Requirements.

B. Periodic Monitoring. See General Grant Requirements.

COMMENTARY**Discussion and Interpretation:**

Article X of this grant addresses the types of financial monitoring methodologies that may be utilized by the Commission to determine compliance with the provisions of the grant and references the General Grant Requirements that provide a full description of the variety of monitoring methodologies. This grant provision is for informational purposes only and will not be monitored.

Reviewer Guidelines:

N/A

Verification Documents:

N/A

METHODOLOGY**Compliance Methodology:**

N/A

Monitoring Methodology: N/A**Sample:** N/A**Point Value:** 0**Total Points Possible:** 0**Scoring Methodology:** N/A**Level:** Level 0 - Not Monitorable**REFERENCE MATERIAL****Recommended Best Practices:**

N/A

Sample Form(s):

N/A

Citation(s):

Grant V - Local Post Adjudication Fund Article X Sections A-B

Cross Reference(s):

N/A

Board Opinion:**RFI Opinion:****EFFECTIVE DATES****Grant's Effective Date:** 9/1/2010 - 8/31/2011**CRM Last Modified On:** 01/14/2011**PRE-MONITORING PREPARATION****Program Tour:** False**Policy and Procedure:** False**Pre-Monitoring Checklist:** False**Pre-Monitoring Preparation Checklist:**
N/A**Reviewer Preparation Checklist Required:**
False**Reviewer Preparation Checklist:**
N/A**INTERVIEW QUESTIONS MAY BE ADMINISTERED TO THE FOLLOWING:****Chief Administrative Officer:** False**Professional Staff:** False**Program/Facility Administrator:** False**Juvenile Board Chair:** False**Ancillary Staff:** False**Juvenile:** False